



JC10 Rec'd PCT 11 MAR 2002

Atty. Dkt. No. 017227-0175

PCT
B08900
#7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John WALKER
Title: IMPROVED SAPONIN
ADJUVANT COMPOSITIONS
AND METHOD RELATING
THERE TO
Appl. No.: 09/857,797
Filing Date: 06/11/2001
Examiner: Unassigned
Art Unit: Unassigned

RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notification of Defective Response dated February 11, 2002, in which a response is due March 11, 2002, transmitted herewith is a Computer Readable Form (CRF) diskette required to complete the filing of the subject patent application.

Applicants believe that the CRF is identical to the Paper copy of the Sequence Listing submitted in previously filed response to missing parts filed September 13, 2001 and is in the required format to be readable by the Scientific and Technical Information Center.

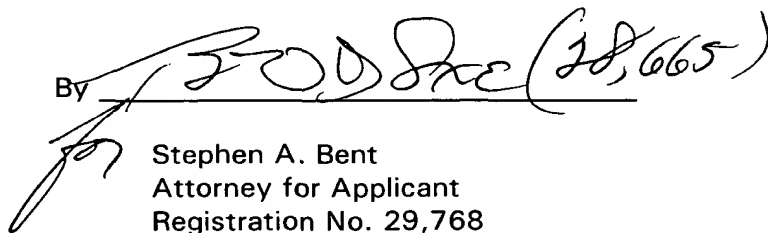
The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date: March 11, 2002

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By


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UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PC1
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/857,797	John Walker	017227-0175

INTERNATIONAL APPLICATION NO.
PCT/AU99/01167

I.A. FILING DATE	PRIORITY DATE
12/24/1999	01/08/1999

Stephen A Bent
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 3000 K Street NW Suite 500
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CONFIRMATION NO. 9643

371 FORMALITIES LETTER

OC000000007453337

Date Mailed: 02/11/2002

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
 - See attached CRF Problem Report
 - APPLICANT MUST PROVIDE:

- An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
 - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase PatentIn Software, call (703) 306-2600
 - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
 - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

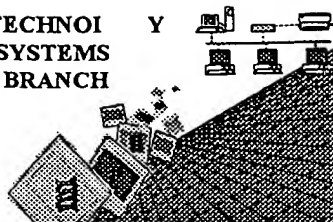
Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/857,797	PCT/AU99/01167	017227-0175



BIOTECHNOI
SYSTEMS
BRANCH



5620 #
BC

CRF Problem Report

The Scientific and Technical Information Center (STIC) experienced a problem when processing the following computer readable form (CRF):

Application Serial Number: 09/857,797

Filing Date: 6/11/2001

Date Processed by STIC: 12/11/2001

STIC Contact: Mark Spencer, 703-308-4212

Nature of Problem:

The CRF (was):

1 (circle one) Damaged or Unreadable (for Unreadable, see attached)

___ Blank (no files on CRF) (see attached)

___ Empty file (filename present, but no bytes in file) (see attached)

___ Virus-infected. Virus name: _____ The STIC will not process the CRF.

___ Not saved in ASCII text

___ Sequence Listing was embedded in the file. According to Sequence Rules, submitted file should **only** be the Sequence Listing.

___ Did not contain a Sequence Listing. (see attached sample)

___ Other: _____

**PLEASE USE THE CHECKER VERSION 3.0 PROGRAM TO REDUCE ERRORS.
SEE BELOW FOR DETAILS:**

Checker Version 3.0

The Checker Version 3.0 application is a state-of-the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 – 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.



09/852,997

Microsoft(R) Windows 98
(C) Copyright Microsoft Corp 1981-1998.

C:\WINDOWS>A:

General failure reading drive A
Abort, Retry, Fail?F

General failure reading drive A
Abort, Retry, Fail?F
Current drive is no longer valid>

*onscreen messages
when STIC PC tried
to read submitted disk*